



Receipt

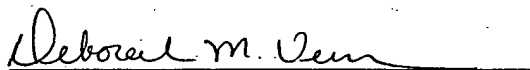
PATENT  
Attorney Docket No. BSC-187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Rioux et al.  
SERIAL NO.: 09/935,487 GROUP NO.: 3738  
FILING DATE: August 23, 2001 EXAMINER: Not yet assigned  
TITLE: MAINTAINING AN OPEN PASSAGEWAY THROUGH A BODY LUMEN

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

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Deborah M. Vernon

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

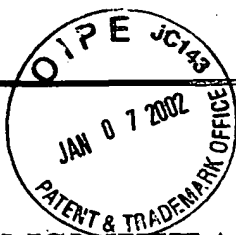
Sir:

Submitted herewith are:

- 1) Transmittal Form (1 page);
- 2) A Request for Corrected Filing Receipt (2 pages);
- 3) A Copy of Filing Receipt (2 pages); and
- 4) A Return Receipt Postcard.

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# TRANSMITTAL FORM

Application Serial Number	09/935,487
Filing Date	August 23, 2001
First Named Inventor	Rioux
Group Art Unit	3738
Examiner Name	Not yet assigned
Attorney Docket No.	BSC-187
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
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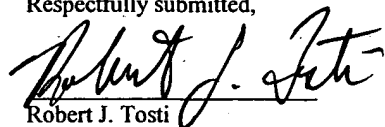
## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
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## SIGNATURE BLOCK

Date: November 29, 2001  
Reg. No. 35,393  
Tel. No.: (617) 248-7374  
Fax No.: (617) 248-7100

Respectfully submitted,

  
Robert J. Tosti  
Attorney for Applicant(s)  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



PATENT  
Attorney Docket No. BSC-187

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Rioux et al.  
SERIAL NO.: 09/935,487 GROUP NO.: 3738  
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Assistant Commissioner for Patents  
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- Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

*And/or*

☐ omitted

***Error In***

***Correct Data***

- ☒ Applicants' names
- ☐ Applicants' addresses
- ☐ Title
- ☐ Filing Date
- ☐ Serial Number
- ☐ Foreign/PCT Application Re:
- ☐ Other – Incorrect Continuing Data

- Robert F. Rioux and Christopher R. O'Keefe
- 
- 
- 
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- 

- (complete the following applicable item A or B)

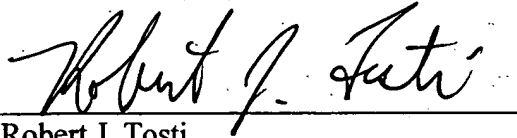
Request For Corrected Filing Receipt

Serial No.: 09/935,487

Page 2 of 2

- A. ☒ The correction is not due to any error by applicant and no fee is due.
- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:
- ☐ enclosed is a check for \$25.00.
- ☐ charge Account No. 20-0531 \$25.00.

Respectfully submitted,



Robert J. Tosti  
Attorney for Applicant(s)  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: November 29, 2001  
Reg. No. 35,393

Tel. No.: (617) 248-7374  
Fax No.: (617) 248-7100

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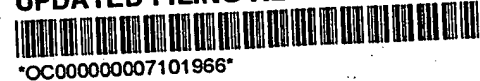
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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/935,487	08/23/2001	3738	840	BSC-187 (1002/257)	11	16	3

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CONFIRMATION NO. 1401

UPDATED FILING RECEIPT



\*OC000000007101966\*

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 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110

TESTA, HURWITZ &amp; THIBEAULT, LLP

Date Mailed: 11/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Robert F. Rioux, Ashland, MA;  
 Christopher R. O'Keefe, Holliston, MA;  
 Christopher

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/29/2001

Projected Publication Date: 02/27/2003

Non-Publication Request: No

Early Publication Request: No

Title

Maintaining an open passageway through a body lumen

Preliminary Class

623

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No Docketing Necessary

 KMT  
 Administrator

 11-27-01  
 Date

Reviewed &amp; Approved

 DMU  
 Resp. Atty

 11-28-01  
 Date

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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